

PREVAILED

Roll Call No. \_\_\_\_\_

FAILED

Ayes \_\_\_\_\_

WITHDRAWN

Noes \_\_\_\_\_

RULED OUT OF ORDER

## HOUSE MOTION \_\_\_\_\_

MR. SPEAKER:

I move that House Bill 1555 be amended to read as follows:

- 1           Page 55, line 36, delete "If" and insert "**Subject to subsection (f),**
- 2       **if"**
- 3           Page 56, between lines 3 and 4, begin a new paragraph and insert:
- 4       **"(f) If a registered broker-dealer has ceased to act as a**
- 5       **broker-dealer due to becoming unemployed, the broker-dealer may**
- 6       **maintain the broker-dealer's registration for not more than two (2)**
- 7       **years after the date the broker-dealer became unemployed, if the**
- 8       **broker-dealer:**
- 9           **(1) pays all fees required for registration under this article;**
- 10          **(2) satisfies all other registration requirements set forth in this**
- 11          **article; and**
- 12          **(3) annually completes at least six (6) hours of academic**

1 **instruction:**

2 **(A) related to the broker-dealer industry; and**

3 **(B) approved by the commissioner."**

(Reference is to HB 1555 as printed February 16, 2007.)

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Representative Whetstone